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*Sec for \$*

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

David Sandler et al.

Application No.: 09/846,879

Group Art Unit: 2874

Confirmation Number: 5890

Filed: April 30, 2001

Examiner: Unk.

For: OPTICAL CROSS CONNECT SWITCH

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**ATTN: BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the "Notice to File Missing Parts of Non-provisional  
Application-Filing Date Granted" (copy enclosed), mailed June 29, 2001,

Applicant submits herewith the following:

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with  
the United States Postal Service with sufficient postage as first  
class mail in an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231 on:

November 28 2001  
Mailing Date

Gary L. Eastman  
Registered Representative


*Gary Eastman*  
Signature

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1. Required Declaration properly executed by the inventor(s).
2. Petition for a three (3) month extension of time under 37 C.F.R. §1.136(a).
3. A check for \$806.00 for:
  - basic filing fee (\$1066.00)\*;
  - nineteen (19) total claims over twenty (\$171.00)\*;
  - late filed declaration fee per 37 C.F.R. §1.16(e) (\$65.00)\*;
  - fee for three (3) month extension of time (\$460.00).\*
4. Eleven (11) substitute drawing sheets in compliance with 37 C.F.R. §1.84.
5. Substitute Abstract.
6. Postcard.

**\*Small Entity Statement:** The undersigned, as attorney of record on behalf of Applicant, hereby verifies that Applicant qualifies as a small entity pursuant to 37 C.F.R. § 1.27, and so is entitled to a one-half reduction in applicable fees in connection with this application.

Respectfully submitted,

  
\_\_\_\_\_  
Gary L. Eastman  
Attorney for Applicant  
Registration No. 41,005

EASTMAN & ASSOCIATES  
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Date: November 28, 2001

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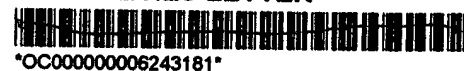
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/846,879	04/30/2001	David Sandler	1092-PA364

CONFIRMATION NO. 5890

## FORMALITIES LETTER



\*OC000000006243181\*

GARY L. EASTMAN  
STE 306  
520 WEST ASH ST.  
SAN DIEGO, CA 92101

Date Mailed: 06/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$171.
  - \$171 for 19 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 591.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

01/10/2002 SSESHE1 00000033 09846879

370.00 DP  
171.00 DP  
65.00 DP01 FC:201  
02 FC:203  
03 FC:205

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*A copy of this notice **MUST** be returned with the reply.*

Shaw  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY